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# Review of Quantum Mechanics

## 2.1 States and Operators

A quantum mechanical system is defined by a Hilbert space,  $\mathcal{H}$ , whose vectors,  $|\psi\rangle$  are associated with the states of the system. A state of the system is represented by the set of vectors  $e^{i\alpha}|\psi\rangle$ . There are linear operators,  $\mathcal{O}_i$  which act on this Hilbert space. These operators correspond to physical observables. Finally, there is an inner product, which assigns a complex number,  $\langle\chi|\psi\rangle$ , to any pair of states,  $|\psi\rangle, |\chi\rangle$ . A state vector,  $|\psi\rangle$  gives a complete description of a system through the expectation values,  $\langle\psi|\mathcal{O}_i|\psi\rangle$  (assuming that  $|\psi\rangle$  is normalized so that  $\langle\psi|\psi\rangle = 1$ ), which would be the average values of the corresponding physical observables if we could measure them on an infinite collection of identical systems each in the state  $|\psi\rangle$ .

The adjoint,  $\mathcal{O}^\dagger$ , of an operator is defined according to

$$\langle\chi|(\mathcal{O}|\psi\rangle) = (\langle\chi|\mathcal{O}^\dagger)|\psi\rangle \quad (2.1)$$

In other words, the inner product between  $|\chi\rangle$  and  $\mathcal{O}|\psi\rangle$  is the same as that between  $\mathcal{O}^\dagger|\chi\rangle$  and  $|\psi\rangle$ . An Hermitian operator satisfies

$$\mathcal{O} = \mathcal{O}^\dagger \quad (2.2)$$

while a unitary operator satisfies

$$\mathcal{O}\mathcal{O}^\dagger = \mathcal{O}^\dagger\mathcal{O} = 1 \quad (2.3)$$

If  $\mathcal{O}$  is Hermitian, then

$$e^{i\mathcal{O}} \quad (2.4)$$

is unitary. Given an Hermitian operator,  $\mathcal{O}$ , its eigenstates are orthogonal,

$$\langle \lambda' | \mathcal{O} | \lambda \rangle = \lambda \langle \lambda' | \lambda \rangle = \lambda' \langle \lambda' | \lambda \rangle \quad (2.5)$$

For  $\lambda \neq \lambda'$ ,

$$\langle \lambda' | \lambda \rangle = 0 \quad (2.6)$$

If there are  $n$  states with the same eigenvalue, then, within the subspace spanned by these states, we can pick a set of  $n$  mutually orthogonal states. Hence, we can use the eigenstates  $|\lambda\rangle$  as a basis for Hilbert space. Any state  $|\psi\rangle$  can be expanded in the basis given by the eigenstates of  $\mathcal{O}$ :

$$|\psi\rangle = \sum_{\lambda} c_{\lambda} |\lambda\rangle \quad (2.7)$$

with

$$c_{\lambda} = \langle \lambda | \psi \rangle \quad (2.8)$$

A particularly important operator is the Hamiltonian, or the total energy, which we will denote by  $H$ . Schrödinger's equation tells us that  $H$  determines how a state of the system will evolve in time.

$$i\hbar \frac{\partial}{\partial t} |\psi\rangle = H |\psi\rangle \quad (2.9)$$

If the Hamiltonian is independent of time, then we can define energy eigenstates,

$$H |E\rangle = E |E\rangle \quad (2.10)$$

which evolve in time according to:

$$|E(t)\rangle = e^{-i\frac{Et}{\hbar}} |E(0)\rangle \quad (2.11)$$

An arbitrary state can be expanded in the basis of energy eigenstates:

$$|\psi\rangle = \sum_i c_i |E_i\rangle \quad (2.12)$$

It will evolve according to:

$$|\psi(t)\rangle = \sum_j c_j e^{-i\frac{E_j t}{\hbar}} |E_j\rangle \quad (2.13)$$

For example, consider a particle in  $1D$ . The Hilbert space consists of all continuous complex-valued functions,  $\psi(x)$ . The position operator,  $\hat{x}$ , and momentum operator,  $\hat{p}$  are defined by:

$$\begin{aligned} \hat{x} \cdot \psi(x) &\equiv x \psi(x) \\ \hat{p} \cdot \psi(x) &\equiv -i\hbar \frac{\partial}{\partial x} \psi(x) \end{aligned} \quad (2.14)$$

The position eigenfunctions,

$$x \delta(x - a) = a \delta(x - a) \quad (2.15)$$

are Dirac delta functions, which are not continuous functions, but can be defined as the limit of continuous functions:

$$\delta(x) = \lim_{a \rightarrow 0} \frac{1}{a\sqrt{\pi}} e^{-\frac{x^2}{a^2}} \quad (2.16)$$

The momentum eigenfunctions are plane waves:

$$-i\hbar \frac{\partial}{\partial x} e^{ikx} = \hbar k e^{ikx} \quad (2.17)$$

Expanding a state in the basis of momentum eigenstates is the same as taking its Fourier transform:

$$\psi(x) = \int_{-\infty}^{\infty} dk \tilde{\psi}(k) \frac{1}{\sqrt{2\pi}} e^{ikx} \quad (2.18)$$

where the Fourier coefficients are given by:

$$\tilde{\psi}(k) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} dx \psi(x) e^{-ikx} \quad (2.19)$$

If the particle is free,

$$H = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \quad (2.20)$$

then momentum eigenstates are also energy eigenstates:

$$\hat{H} e^{ikx} = \frac{\hbar^2 k^2}{2m} e^{ikx} \quad (2.21)$$

If a particle is in a Gaussian wavepacket at the origin at time  $t = 0$ ,

$$\psi(x, 0) = \frac{1}{a\sqrt{\pi}} e^{-\frac{x^2}{a^2}} \quad (2.22)$$

Then, at time  $t$ , it will be in the state:

$$\psi(x, t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} dk \frac{a}{\sqrt{\pi}} e^{-i\frac{\hbar k^2 t}{2m}} e^{-\frac{1}{2}k^2 a^2} e^{ikx} \quad (2.23)$$

## 2.2 Density and Current

Multiplying the free-particle Schrödinger equation by  $\psi^*$ ,

$$\psi^* i\hbar \frac{\partial}{\partial t} \psi = -\frac{\hbar^2}{2m} \psi^* \frac{\partial^2}{\partial x^2} \psi \quad (2.24)$$

and subtracting the complex conjugate of this equation, we find

$$\frac{\partial}{\partial t} (\psi^* \psi) = \frac{i\hbar}{2m} \vec{\nabla} \cdot (\psi^* \vec{\nabla} \psi - (\vec{\nabla} \psi^*) \psi) \quad (2.25)$$

This is in the form of a continuity equation,

$$\frac{\partial \rho}{\partial t} = \vec{\nabla} \cdot \vec{j} \quad (2.26)$$

The density and current are given by:

$$\rho = \psi^* \psi$$

$$\vec{j} = \frac{i\hbar}{2m} \left( \psi^* \vec{\nabla} \psi - (\vec{\nabla} \psi^*) \psi \right) \quad (2.27)$$

The current carried by a plane-wave state is:

$$\vec{j} = \frac{\hbar}{2m} \vec{k} \frac{1}{(2\pi)^3} \quad (2.28)$$

### 2.3 $\delta$ -function scatterer

$$H = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V \delta(x) \quad (2.29)$$

$$\psi(x) = \begin{cases} e^{ikx} + R e^{-ikx} & \text{if } x < 0 \\ T e^{ikx} & \text{if } x > 0 \end{cases} \quad (2.30)$$

$$\begin{aligned} T &= \frac{1}{1 - \frac{mV}{\hbar^2 k} i} \\ R &= \frac{\frac{mV}{\hbar^2 k} i}{1 - \frac{mV}{\hbar^2 k} i} \end{aligned} \quad (2.31)$$

There is a *bound state* at:

$$ik = \frac{mV}{\hbar^2} \quad (2.32)$$

### 2.4 Particle in a Box

Particle in a 1D region of length  $L$ :

$$H = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \quad (2.33)$$

$$\psi(x) = A e^{ikx} + B e^{-ikx} \quad (2.34)$$

has energy  $E = \hbar^2 k^2 / 2m$ .  $\psi(0) = \psi(L) = 0$ . Therefore,

$$\psi(x) = A \sin\left(\frac{n\pi}{L} x\right) \quad (2.35)$$

for integer  $n$ . Allowed energies

$$E_n = \frac{\hbar^2 \pi^2 n^2}{2mL^2} \quad (2.36)$$

In a 3D box of side  $L$ , the energy eigenfunctions are:

$$\psi(x) = A \sin\left(\frac{n_x \pi}{L} x\right) \sin\left(\frac{n_y \pi}{L} y\right) \sin\left(\frac{n_z \pi}{L} z\right) \quad (2.37)$$

and the allowed energies are:

$$E_n = \frac{\hbar^2 \pi^2}{2mL^2} (n_x^2 + n_y^2 + n_z^2) \quad (2.38)$$

## 2.5 Harmonic Oscillator

$$H = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + \frac{1}{2} k x^2 \quad (2.39)$$

Writing  $\omega = \sqrt{k/m}$ ,  $\tilde{p} = p/(km)^{1/4}$ ,  $\tilde{x} = x(km)^{1/4}$ ,

$$H = \frac{1}{2} \omega (\tilde{p}^2 + \tilde{x}^2) \quad (2.40)$$

$$[\tilde{p}, \tilde{x}] = -i\hbar \quad (2.41)$$

Raising and lowering operators:

$$\begin{aligned} a &= (\tilde{x} + i\tilde{p}) / \sqrt{2\hbar} \\ a^\dagger &= (\tilde{x} - i\tilde{p}) / \sqrt{2\hbar} \end{aligned} \quad (2.42)$$

Hamiltonian and commutation relations:

$$\begin{aligned} H &= \hbar\omega \left( a^\dagger a + \frac{1}{2} \right) \\ [a, a^\dagger] &= 1 \end{aligned} \quad (2.43)$$

The commutation relations,

$$[H, a^\dagger] = \hbar\omega a^\dagger$$

$$[H, a] = -\hbar\omega a \quad (2.44)$$

imply that there is a ladder of states,

$$\begin{aligned} Ha^\dagger|E\rangle &= (E + \hbar\omega) a^\dagger|E\rangle \\ Ha|E\rangle &= (E - \hbar\omega) a|E\rangle \end{aligned} \quad (2.45)$$

This ladder will continue down to negative energies (which it can't since the Hamiltonian is manifestly positive definite) unless there is an  $E_0 \geq 0$  such that

$$a|E_0\rangle = 0 \quad (2.46)$$

Such a state has  $E_0 = \hbar\omega/2$ .

We label the states by their  $a^\dagger a$  eigenvalues. We have a complete set of  $H$  eigenstates,  $|n\rangle$ , such that

$$H|n\rangle = \hbar\omega \left( n + \frac{1}{2} \right) |n\rangle \quad (2.47)$$

and  $(a^\dagger)^n|0\rangle \propto |n\rangle$ . To get the normalization, we write  $a^\dagger|n\rangle = c_n|n+1\rangle$ . Then,

$$\begin{aligned} |c_n|^2 &= \langle n|aa^\dagger|n\rangle \\ &= n + 1 \end{aligned} \quad (2.48)$$

Hence,

$$\begin{aligned} a^\dagger|n\rangle &= \sqrt{n+1}|n+1\rangle \\ a|n\rangle &= \sqrt{n}|n-1\rangle \end{aligned} \quad (2.49)$$

## 2.6 Double Well

$$H = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x) \quad (2.50)$$

where

$$V(x) = \begin{cases} \infty & \text{if } |x| > 2a + 2b \\ 0 & \text{if } b < |x| < a + b \\ V_0 & \text{if } |x| < b \end{cases}$$

Symmetrical solutions:

$$\psi(x) = \begin{cases} A \cos k'x & \text{if } |x| < b \\ \cos(k|x| - \phi) & \text{if } b < |x| < a + b \end{cases} \quad (2.51)$$

with

$$k' = \sqrt{k^2 - \frac{2mV_0}{\hbar^2}} \quad (2.52)$$

The allowed  $k$ 's are determined by the condition that  $\psi(a + b) = 0$ :

$$\phi = \left(n + \frac{1}{2}\right) \pi - k(a + b) \quad (2.53)$$

the continuity of  $\psi(x)$  at  $|x| = b$ :

$$A = \frac{\cos(kb - \phi)}{\cos k'b} \quad (2.54)$$

and the continuity of  $\psi'(x)$  at  $|x| = b$ :

$$k \tan \left( \left(n + \frac{1}{2}\right) \pi - ka \right) = k' \tan k'b \quad (2.55)$$

If  $k'$  is imaginary,  $\cos \rightarrow \cosh$  and  $\tan \rightarrow i \tanh$  in the above equations.

Antisymmetrical solutions:

$$\psi(x) = \begin{cases} A \sin k'x & \text{if } |x| < b \\ \text{sgn}(x) \cos(k|x| - \phi) & \text{if } b < |x| < a + b \end{cases} \quad (2.56)$$

The allowed  $k$ 's are now determined by

$$\phi = \left(n + \frac{1}{2}\right) \pi - k(a + b) \quad (2.57)$$

$$A = \frac{\cos(kb - \phi)}{\sin k'b} \quad (2.58)$$



$$k \tan \left( \left( n + \frac{1}{2} \right) \pi - ka \right) = -k' \cot k'b \quad (2.59)$$

Suppose we have  $n$  wells? Sequences of eigenstates, classified according to their eigenvalues under translations between the wells.

## 2.7 Spin

The electron carries spin-1/2. The spin is described by a state in the Hilbert space:

$$\alpha|+\rangle + \beta|-\rangle \quad (2.60)$$

spanned by the basis vectors  $|\pm\rangle$ . Spin operators:

$$\begin{aligned} s_x &= \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \\ s_y &= \frac{1}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \\ s_z &= \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \end{aligned} \quad (2.61)$$

Coupling to an external magnetic field:

$$H_{\text{int}} = -g\mu_B \vec{s} \cdot \vec{B} \quad (2.62)$$

States of a spin in a magnetic field in the  $\hat{z}$  direction:

$$\begin{aligned} H|+\rangle &= -\frac{g}{2}\mu_B |+\rangle \\ H|-\rangle &= \frac{g}{2}\mu_B |-\rangle \end{aligned} \quad (2.63)$$

## 2.8 Many-Particle Hilbert Spaces: Bosons, Fermions

When we have a system with many particles, we must now specify the states of all of the particles. If we have two distinguishable particles whose Hilbert spaces are

spanned by the bases

$$|i, 1\rangle \quad (2.64)$$

and

$$|\alpha, 2\rangle \quad (2.65)$$

Then the two-particle Hilbert space is spanned by the set:

$$|i, 1; \alpha, 2\rangle \equiv |i, 1\rangle \otimes |\alpha, 2\rangle \quad (2.66)$$

Suppose that the two single-particle Hilbert spaces are identical, e.g. the two particles are in the same box. Then the two-particle Hilbert space is:

$$|i, j\rangle \equiv |i, 1\rangle \otimes |j, 2\rangle \quad (2.67)$$

If the particles are identical, however, we must be more careful.  $|i, j\rangle$  and  $|j, i\rangle$  must be physically the same state, i.e.

$$|i, j\rangle = e^{i\alpha} |j, i\rangle \quad (2.68)$$

Applying this relation twice implies that

$$|i, j\rangle = e^{2i\alpha} |i, j\rangle \quad (2.69)$$

so  $e^{i\alpha} = \pm 1$ . The former corresponds to bosons, while the latter corresponds to fermions. The two-particle Hilbert spaces of bosons and fermions are respectively spanned by:

$$|i, j\rangle + |j, i\rangle \quad (2.70)$$

and

$$|i, j\rangle - |j, i\rangle \quad (2.71)$$

The  $n$ -particle Hilbert spaces of bosons and fermions are respectively spanned by:

$$\sum_{\pi} |i_{\pi(1)}, \dots, i_{\pi(n)}\rangle \quad (2.72)$$

and

$$\sum_{\pi} (-1)^{\pi} |i_{\pi(1)}, \dots, i_{\pi(n)}\rangle \quad (2.73)$$

In position space, this means that a bosonic wavefunction must be completely symmetric:

$$\psi(x_1, \dots, x_i, \dots, x_j, \dots, x_n) = \psi(x_1, \dots, x_j, \dots, x_i, \dots, x_n) \quad (2.74)$$

while a fermionic wavefunction must be completely antisymmetric:

$$\psi(x_1, \dots, x_i, \dots, x_j, \dots, x_n) = -\psi(x_1, \dots, x_j, \dots, x_i, \dots, x_n) \quad (2.75)$$